



#3

PATENT 60709-00012

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicants: Barton et al.

Group Art Unit: 2152

Serial Number: 09/848,051

Filed: May 3, 2001

For: METHODS AND SYSTEMS FOR

COMPLIANCE PROGRAM

ASSESSMENT

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 28, 2001, enclosed is the fully executed Declaration and Power of Attorney of Nancy E. Barton, Edward S. Gilbert, Eugene F. Mensching, Jr., Christina E. Mitchell and Brackett B. Denniston, III; the fully executed Declaration and Power of Attorney of David E. Tucker; the fully executed Declaration and Power of Attorney of Robert C. Green; the fully executed Declaration and Power of Attorney of William Lacey; the fully executed Declaration and Power of Attorney of Ligia B. Vicente; the fully executed Declaration and Power of Attorney of John W. Carbone; the fully executed Declaration and Power of Attorney of Sheri F. West; the fully executed Declaration and Power of Attorney of Christopher Robine; and the fully executed Declaration and Power of Attorney of Frank Torres, III. Also enclosed is the Part 2 - Copy to be Returned with Response, along with a Petition for Extension of Time.



The Commissioner is hereby authorized to charge the surcharge of \$130 and a five-month extension fee of \$1,960.00 to Deposit Account Number 01-2384. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Patrick W. Rasche

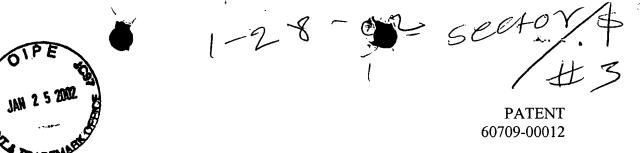
Reg. No. 37,916

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CERTIFICATE OF MAILING BY EXPRESS MAIL TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: EL 752183780 US

Date of Mailing: January 25, 2002

I certify that the attached RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION of BARTON et al. for METHODS AND SYSTEMS FOR COMPLIANCE PROGRAM ASSESSMENT, Serial No. 09/848,051 filed May 3, 2001 including:

- Response To Notice To File Missing Parts Of Nonprovisional Application (2 pgs., in duplicate)
- Petition for Extension of Time (1 pg., in duplicate)
- Part 2 Copy to be Returned with Response (1 pg.)
- Declaration and Power of Attorney of Nancy E. Barton, Edward S. Gilbert, Eugene F. Mensching, Jr., Christina E. Mitchell and Brackett B. Denniston, III (5 pgs.)
- Declaration and Power of Attorney of David E. Tucker (5 pgs.)
- Declaration and Power of Attorney of Robert C. Green (5 pgs.)
- Declaration and Power of Attorney of William Lacey (5 pgs.)
- Declaration and Power of Attorney of Ligia B. Vicente (5 pgs.)
- Declaration and Power of Attorney of John M. Whitcomb (5 pgs.)
- Declaration and Power of Attorney of John W. Carbone (5 pgs.)
- Declaration and Power of Attorney of Shert F. West (5 pgs.)
- Declaration and Power of Attorney of Christopher Robine (5 pgs.)
- Declaration and Power of Attorney of Frank Torres, III (5 pgs.)
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.Res§1.10 on the date indicated above in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Patrick W. Rasche, Reg. No. 37,916

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/848,051

05/03/2001

Nancy E. Barton

60709-00012

CONFIRMATION NO. 5587

FORMALITIES LETTER

OC00000006236229

John S. Beulick Armsrtong Teasdale LLP Suite 2600 One Metropolitan Sq. St. Louis, MO 63102

Date Mailed: 06/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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